

## CONTRACT SUMMARY SHEET

Contract No. C 09016 Amendment No. 02

By and Between

California Transportation Commission, Caltrans and City of Ontario

Contract Description Baseline agreement serves as a general scope/benefit/project sponsor agreement. Final funding contribution will be determined by co-op agreements for each phase.

<b>Board of Director's Meeting Date:</b> 10/3/2012	
<b>Overview of BOD Action:</b> Approve Amendment #2 to TCIF Baseline Agreement for South Milliken Avenue Road Grade Separation Project C09016-02	
Is this a Sole-Source procurement? <input type="checkbox"/> Yes <input type="checkbox"/> No	

CONTRACT OVERVIEW					
Original Contract Amount	\$	0	Original Contingency Amount	\$	0
Revised Contract Amount <i>Inclusive of prior amendments</i>	\$	0	Revised Contingency Amount <i>Inclusive of prior amendments</i>	\$	0
Current Amendment Amount	\$	0	Contingency Amendment	\$	0
<b>TOTAL CONTRACT VALUE</b>	<b>\$</b>	<b>0</b>	<b>TOTAL CONTINGENCY VALUE</b>	<b>\$</b>	<b>0</b>
<b>TOTAL BUDGET AUTHORITY (contract value + contingency)</b>					<b>\$ 0</b>

Contract Start Date 9/3/2008	Current Contract Expiration Date 5/1/2016	Revised Contract Expiration Date 9/1/2016
Has the contract term been amended? <input type="checkbox"/> No <input checked="" type="checkbox"/> Yes - please explain. Contract expiration date extended		

FINANCIAL INFORMATION					
<input type="checkbox"/> Budget authority for this contract currently exists in Task No. _____.					
<input type="checkbox"/> A Budget Amendment is required.					
How are we funding current FY? NA					
<input type="checkbox"/> Federal Funds	<input checked="" type="checkbox"/> State Funds	<input type="checkbox"/> Local Funds	<input type="checkbox"/> TDA Funds	<input type="checkbox"/> Measure I Funds	
Provide Brief Overview of the <b>Overall</b> Funding for the duration of the Contract: NA- this is a scoping document only					
<input type="checkbox"/> Payable <input type="checkbox"/> Receivable					

CONTRACT MANAGEMENT INFORMATION	
<b>Check all applicable boxes:</b>	
<input type="checkbox"/> Retention? If yes, indicate % _____.	
<input type="checkbox"/> Disadvantaged Business Enterprise (DBE) Goal _____ %	<input type="checkbox"/> Underutilized DBE (UDBE) Goal _____ %

Task Manager (Print Name)	Signature	Date
Project Manager (Print Name)	Signature	Date
Contracts Manager (Print Name)	Signature	Date
Chief Financial Officer Signature (Print Name)	Signature	Date

## Detail Contract Summary Sheet

### Detail Contract Information (Provided by Project Manager)

Complete this form in conjunction with the Contract Summary Sheet for this Contract.

### Finance Contract Summary Sheet

Contract No: C09016	Amendment No. 2	Vendor No.
Vendor/Customer Name: California Transportation Commission, Caltrans and City of Ontario		
Contract Description: South Milliken Grade Separation Project		
List Any Related SANBAG Contracts Numbers:		C10093
Other Agency Contract Nos.:	Contract Expiration Date:	

### Contract Management: Payable/Miscellaneous

Invoice Warning: 20%	Renewals:	Contract Type: Scoping document only
Retention: %	Max Retention to be held:	
Construction <input type="checkbox"/>	A & E Services <input type="checkbox"/>	Other Professional Services <input type="checkbox"/> Intergovernmental/MOU/COOP <input type="checkbox"/>

### Budget Authority for Current Fiscal Year

Approved Budget Authority: Fiscal year		Amount \$ -				
GL Expense	Fund Source Description	Fund	Program	Project	Object	Amount
						\$ -
						\$ -
Project String (if applicable)		Project	Level 1	Level 2	Object	Amount
						\$ -
						\$ -
						\$ -

### Contract Management: Receivable

E-76 Date	(Attach Copy if Available)	Program Supplement No.:
Finance Letter <input type="checkbox"/>	Reversion Date:	EA No.:

All of the above MUST be submitted to FINANCE. Including Originals, Amendments & Misc transaction changes

### Contract Details : Allocate the Total Contract or Current Amendment Amount by funding string

GL Revenue	Billing Category <small>(Finance Use Only)</small>	Fund Source Description	Fund	Program	Project	Revenue	Amount
							\$ -
							\$ -
Revenue Project String (if applicable)			Project	Fund	Level 2	Revenue	Amount
							\$ -
							\$ -
							\$ -

### Notes

This agreement doesn't commit any funds; it only serves as a project scoping documents.

### Finance Reviews

A/P Review (initials):	A/R Review (initials):	CFO Review (initials):
(Finance Use Only) Supplemental No.:	(Finance Use Only) Z Contract No.:	

**TRADE CORRIDORS IMPROVEMENT FUND  
PROJECT BASELINE AGREEMENT AMENDMENT #2**

On \_\_\_\_\_, with CTC Resolution TCIF \_\_\_\_\_, attached hereto for reference, the California Transportation Commission approved a TCIF Program Amendment to revise fund programming and project schedule of TCIF Project: the South Milliken Avenue Grade Separation Project.

The Project Baseline Agreement provision for the South Milliken Avenue Grade Separation Project, effective on September 1, 2008, made by and between the California Transportation Commission, the California Department of Transportation, the City of Ontario, and San Bernardino Associated Governments remains in effect except for the following sections:

**4.1 Project Schedule and Cost**

See Project Programming Request Form, attached as Exhibit A.

\_\_\_\_\_  
California Department of Transportation  
Malcolm Dougherty, Director

\_\_\_\_\_  
California Transportation Commission  
Bimla Rhinehart, Executive Director

  
\_\_\_\_\_  
City of Ontario  
Chris Hughes, City Manager

  
\_\_\_\_\_  
San Bernardino Associated Governments  
Raymond Wolfe, Executive Director

APPROVED AS TO FORM:

  
\_\_\_\_\_  
Eileen Monaghan Teichert, SANBAG General Counsel

**Exhibit A**

**2008 Project Programming Request  
(Project Information)**



*General Instructions*

<input type="checkbox"/> New Project		<input checked="" type="checkbox"/> Amendment (Existing Project)		Date:	11/27/12
Caltrans District	EA	PPNO	MPO ID	TCRP No.	
08			200405		
County	Route/Corridor	Project Sponsor/Lead Agency	MPO	Element	
SBD		City of Ontario	SCAG	MT	
<b>Project Title</b>					
South Milliken Ave Railroad Grade Separation at UP/Los Angeles					
PM/Bk	PM Ahd	Project Mgr/Contact	Phone	E-mail Address	
		Tom Danna	909-395-2387	tdanna@ci.ontario.ca.us	
<b>Location, Project Limits, Description, Scope of Work, Legislative Description</b>					
In the City of Ontario along Alameda Corridor East, on Milliken Ave at UP/Los Angeles immediately north of Mission Blvd, construct grade separation.					
Component	Implementing Agency	AB 3090	Letter of No Prejudice		
PA&ED	Ontario	<input type="checkbox"/>	<input type="checkbox"/>		
PS&E	Ontario	<input type="checkbox"/>	<input type="checkbox"/>		
Right of Way	Ontario	<input type="checkbox"/>	<input type="checkbox"/>		
Construction	Ontario	<input type="checkbox"/>	<input type="checkbox"/>		
<b>Legislative Districts</b>					
Assembly: 61, 71		Senate: 31, 32			
Congressional: 43, 44					
<b>Purpose and Need</b>					
Milliken Ave is a north/south corridor east of Los Angeles/Ontario International Airport (ONT) running from SR-60 to I-10 and provides access to and from ONT (for both passenger traffic and cargo-related uses), and to Ontario's Foreign Trade Zone No. 50-1 (an extension of the Port of Long Beach's FTZ NO. 50). It carries an estimated 19,500 vehicles per day (43,000 vpd by 2030), and heavy duty trucks are estimated to comprise 20 percent of daily traffic. Separating the railroad crossing from Milliken Ave, which is a key location along the Alameda Corridor East, will mitigate community impacts of goods movement and provide more reliable truck access to the logistics complex and the air cargo facilities at ONT.					
<b>Project Benefits</b>					
Railroad grade separations increase travel reliability on major roadways for both the community and truck traffic, improve air quality, and eliminate potential conflicts between vehicular and train traffic, which all act to mitigate the impact of freight movement on communities. This grade separation will eliminate gate down time totaling 2 hours per day in 2030 and is estimated to reduce 131 daily vehicle hours of delay in 2030.					
<b>Project Milestone</b>					<b>Date</b>
Project Study Report Approved					N/A
Begin Environmental (PA&ED) Phase					07/01/08
Circulate Draft Environmental Document			Document Type	N/A	CEQA SE
Draft Project Report					07/01/09
End Environmental Phase (PA&ED Milestone)					06/15/10
Begin Design (PS&E) Phase					06/16/10
End Design Phase (Ready to List for Advertisement Milestone)					02/04/12
Begin Right of Way Phase					01/31/11
End Right of Way Phase (Right of Way Certification Milestone)					01/31/13
Begin Construction Phase (Contract Award Milestone)					04/01/13
End Construction Phase (Construction Contract Acceptance Milestone)					10/01/15
Begin Closeout Phase					11/01/15
End Closeout Phase (Closeout Report)					05/01/16

06/25/2010  
06/16/2010  
03/07/2013  
07/01/2011  
03/07/2013  
09/18/2013  
03/01/2016  
04/01/2016  
11/01/2016



## 2008 Project Programming Request (Funding Information)

(dollars in thousands and escalated to the programmed year)

Date: 11/27/12

County	CT District	PPNO	TCRP Project No.	EA
SBD	08	0	0	0
<b>Project Title:</b> South Milliken Ave Railroad Grade Separation at UP/Los Angeles				

Existing Total Project Cost									Implementing Agency
Component	Prior	08/09	09/10	10/11	11/12	12/13	13/14+	Total	
E&P (PA&ED)	750	0	0	0	0	0	0	750	
PS&E	0	0	0	4,195	0	0	0	4,195	
R/W SUP (CT)	0	0	0	0	0	0	0	0	
CON SUP (CT)	0	0	0	0	0	0	0	0	
R/W	0	0	0	7,309	0	0	0	7,309	
CON	0	0	0	0	0	66,970	0	66,970	
<b>TOTAL</b>	<b>750</b>	<b>0</b>	<b>0</b>	<b>11,504</b>	<b>0</b>	<b>66,970</b>	<b>0</b>	<b>79,224</b>	
Proposed Total Project Cost									
E&P (PA&ED)	750	0	0	0	0	0	0	750	
PS&E	0	0	0	4,745	0	0	0	4,745	
R/W SUP (CT)	0	0	0	0	0	0	0	0	
CON SUP (CT)	0	0	0	0	0	0	0	0	
R/W	0	0	0	0	5,221	0	0	5,221	
CON	0	0	0	0	0	66,100	0	66,100	
<b>TOTAL</b>	<b>750</b>	<b>0</b>	<b>0</b>	<b>4,745</b>	<b>5,221</b>	<b>66,100</b>	<b>0</b>	<b>76,816</b>	

<b>Fund No. 1:</b>	<b>TCIF</b>	<b>Program Code</b>							
Existing Funding									Funding Agency
Component	Prior	08/09	09/10	10/11	11/12	12/13	13/14+	Total	
E&P (PA&ED)	0	0	0	0	0	0	0	0	\$6.49m from N Milliken
PS&E	0	0	0	0	0	0	0	0	
R/W SUP (CT)	0	0	0	0	0	0	0	0	
CON SUP (CT)	0	0	0	0	0	0	0	0	
R/W	0	0	0	0	0	0	0	0	
CON	0	0	0	0	0	14,521	0	14,521	
<b>TOTAL</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>14,521</b>	<b>0</b>	<b>14,521</b>	
Proposed Funding									Notes
E&P (PA&ED)								0	
PS&E								0	
R/W SUP (CT)								0	
CON SUP (CT)								0	
R/W								0	
CON						14,521		14,521	
<b>TOTAL</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>14,521</b>	<b>0</b>	<b>14,521</b>	

<b>Fund No. 2:</b>	<b>Developer Impact Fees (Local)</b>	<b>Program Code</b>							
Existing Funding									Funding Agency
Component	Prior	08/09	09/10	10/11	11/12	12/13	13/14+	Total	
E&P (PA&ED)	0	0	0	0	0	0	0	0	
PS&E	0	0	0	839	0	0	0	839	
R/W SUP (CT)	0	0	0	0	0	0	0	0	
CON SUP (CT)	0	0	0	0	0	0	0	0	
R/W	0	0	0	1,462	0	0	0	1,462	
CON	0	0	0	0	0	13,549	0	13,549	
<b>TOTAL</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>2,301</b>	<b>0</b>	<b>13,549</b>	<b>0</b>	<b>15,850</b>	
Proposed Funding									Notes
E&P (PA&ED)	250							250	
PS&E				949				949	
R/W SUP (CT)								0	
CON SUP (CT)								0	
R/W					1,044			1,044	
CON						12,433		12,433	
<b>TOTAL</b>	<b>250</b>	<b>0</b>	<b>0</b>	<b>949</b>	<b>1,044</b>	<b>12,433</b>	<b>0</b>	<b>14,676</b>	

Fund No. 3: SANBAG Measure I (Local)									Program Code
Existing Funding									
Component	Prior	08/09	09/10	10/11	11/12	12/13	13/14+	Total	Funding Agency
E&P (PA&ED)	750	0	0	0	0	0	0	750	
PS&E	0	0	0	3,356	0	0	0	3,356	
R/W SUP (CT)	0	0	0	0	0	0	0	0	
CON SUP (CT)	0	0	0	0	0	0	0	0	
R/W	0	0	0	5,847	0	0	0	5,847	
CON	0	0	0	0	0	38,900	0	38,900	
<b>TOTAL</b>	<b>750</b>	<b>0</b>	<b>0</b>	<b>9,203</b>	<b>0</b>	<b>38,900</b>	<b>0</b>	<b>48,853</b>	
Proposed Funding									Notes
E&P (PA&ED)	500							500	
PS&E				3,796				3,796	
R/W SUP (CT)								0	
CON SUP (CT)								0	
R/W					4,177			4,177	
CON						17,656		17,656	
<b>TOTAL</b>	<b>500</b>	<b>0</b>	<b>0</b>	<b>3,796</b>	<b>4,177</b>	<b>17,656</b>	<b>0</b>	<b>26,129</b>	
Fund No. 4: Prop 1B SLPP-SBD Formula Share									Program Code
Existing Funding									
Component	Prior	08/09	09/10	10/11	11/12	12/13	13/14+	Total	Funding Agency
E&P (PA&ED)	0	0	0	0	0	0	0	0	
PS&E	0	0	0	0	0	0	0	0	
R/W SUP (CT)	0	0	0	0	0	0	0	0	
CON SUP (CT)	0	0	0	0	0	0	0	0	
R/W	0	0	0	0	0	0	0	0	
CON	0	0	0	0	0	0	0	0	
<b>TOTAL</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	
Proposed Funding									Notes
E&P (PA&ED)								0	Prop 1B SLPP SBD Share
PS&E								0	
R/W SUP (CT)								0	
CON SUP (CT)								0	
R/W								0	
CON						17,655		17,655	
<b>TOTAL</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>17,655</b>	<b>0</b>	<b>17,655</b>	
Fund No. 5: Railroad - UPRR (Private)									Program Code
Existing Funding									
Component	Prior	08/09	09/10	10/11	11/12	12/13	13/14+	Total	Funding Agency
E&P (PA&ED)	0	0	0	0	0	0	0	0	
PS&E	0	0	0	0	0	0	0	0	
R/W SUP (CT)	0	0	0	0	0	0	0	0	
CON SUP (CT)	0	0	0	0	0	0	0	0	
R/W	0	0	0	0	0	0	0	0	
CON	0	0	0	0	0	0	0	0	
<b>TOTAL</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	
Proposed Funding									Notes
E&P (PA&ED)								0	UPRR
PS&E								0	
R/W SUP (CT)								0	
CON SUP (CT)								0	
R/W								0	
CON						3,835		3,835	
<b>TOTAL</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>3,835</b>	<b>0</b>	<b>3,835</b>	

**PROJECT PROGRAMMING REQUEST****Complete this page for amendments only**

Date:

District	County	Route	EA	Project ID	PPNO	TCIF No.

**SECTION 1 - All Projects****Project Background**

Milliken Ave is a north/south corridor east of Los Angeles/Ontario International Airport (ONT) running from SR-60 to I-10 and provides access to and from ONT (for both passenger traffic and cargo-related uses), and to Ontario's Foreign Trade Zone No. 50-1 (an extension of the Port of Long Beach's FTZ NO. 50). It carries an estimated 19,500 vehicles per day (43,000 vpd by 2030), and heavy duty trucks are estimated to comprise 20 percent of daily traffic. Separating the railroad crossing from Milliken Ave, which is a key location along the Alameda Corridor East, will mitigate community impacts of goods movement and provide more reliable truck access to the logistics complex and the air cargo facilities at ONT.

**Programming Change Requested**

The South Milliken Avenue Grade Separation Baseline Agreement Amendment changes the delivery schedule and updates the total project cost from \$79.224 million to \$76.816 million. Additionally, \$17,655 of SLPP formula funds and \$3,835,000 of UP railroad funds were added to the project and the total amount of SANBAG Measure I and Developer Impact fees were reduced.

**Reason for Proposed Change**

The project has had two distinct events that triggered schedule and project cost changes. The first event was the negotiations with Union Pacific Railroad on the preferred rail-highway configuration. This event delayed the environmental completion date. Additionally during the review of 35% design plan submittal, a modification to the preferred alternative configuration was evaluated that would potentially decrease the overall construction cost and provide favorable intersection operation. The evaluation yielded construction cost savings; however, this resulted in a resubmittal of the 35% plans and delayed completion of the design phase from the Baseline Agreement Amendment milestone date of February 2012 to March 2013. At this time revisions to the 100% design plans are underway and the ROW certification is anticipated in late February/early March 2013, and the construction award milestone is schedule for September 2013

**If proposed change will delay one or more components, clearly explain 1) reason the delay, 2) cost increase**

See above. The end construction date is scheduled for March 2016.

**Other Significant Information****SECTION 2 - For TCRP Projects Only**

Alternative Project Request (Please follow Instructions at <http://www.dot.ca.gov/tcrp/LETTERguidelines>)

Letter of No Prejudice (LONP) (Please follow Guidelines at <http://www.dot.ca.gov/tcrp/docs/042706.pdf>)

**SECTION 3 - All Projects****Approvals**

I hereby certify that the above information is complete and accurate and all approvals have been obtained for the

Name (Print or Type)	Signature	Title	Date

**Attachments**

- 1) Concurrence from Implementing Agency and/or Regional Transportation Planning Agency
- 2) Project Location Map