

CONTRACT SUMMARY SHEET

Contract No. C 09018 Amendment No. 01

By and Between

California Transportation Commission, Caltrans and City of Ontario

Contract Description Baseline agreement serves as a general scope/ benefit/ project sponsor agreement. Final funding contribution will be determined by co-op agreements for each phase.

Board of Director's Meeting Date: 10/3/2012 Overview of BOD Action: Approve Amendment #1 to TCIF Baseline Agreement for Vineyard Road Grade Separation Project C09018-01
Is this a Sole-Source procurement? <input type="checkbox"/> Yes <input type="checkbox"/> No

CONTRACT OVERVIEW					
Original Contract Amount	\$	0	Original Contingency Amount	\$	0
Revised Contract Amount <i>Inclusive of prior amendments</i>	\$	0	Revised Contingency Amount <i>Inclusive of prior amendments</i>	\$	0
Current Amendment Amount	\$	0	Contingency Amendment	\$	0
TOTAL CONTRACT VALUE	\$	0	TOTAL CONTINGENCY VALUE	\$	0
TOTAL BUDGET AUTHORITY (contract value + contingency)					\$ 0

Contract Start Date 9/3/2008	Current Contract Expiration Date 4/30/2015	Revised Contract Expiration Date 9/1/2015
Has the contract term been amended? <input type="checkbox"/> No <input checked="" type="checkbox"/> Yes - please explain. Contract expiration date extended		

FINANCIAL INFORMATION					
<input type="checkbox"/> Budget authority for this contract currently exists in Task No. _____. <input type="checkbox"/> A Budget Amendment is required. How are we funding current FY? NA					
<input type="checkbox"/> Federal Funds	<input checked="" type="checkbox"/> State Funds	<input type="checkbox"/> Local Funds	<input type="checkbox"/> TDA Funds	<input type="checkbox"/> Measure I Funds	
Provide Brief Overview of the Overall Funding for the duration of the Contract: NA- this is a scoping document only <input type="checkbox"/> Payable <input type="checkbox"/> Receivable					

CONTRACT MANAGEMENT INFORMATION	
Check all applicable boxes:	
<input type="checkbox"/> Retention? If yes, indicate % _____.	
<input type="checkbox"/> Disadvantaged Business Enterprise (DBE) Goal _____ %	<input type="checkbox"/> Underutilized DBE (UDBE) Goal _____ %

Task Manager (Print Name)	Signature	Date
Project Manager (Print Name)	Signature	Date
Contracts Manager (Print Name)	Signature	Date
Chief Financial Officer Signature (Print Name)	Signature	Date

Detail Contract Summary Sheet

Detail Contract Information (Provided by Project Manager)

Complete this form in conjunction with the Contract Summary Sheet for this Contract.

Finance Contract Summary Sheet

Contract No: C09018	Amendment No. 1	Vendor No.
Vendor/Customer Name: California Transportation Commission, Caltrans and City of Ontario		
Contract Description: Vineyard Ave Grade Separation Project		
List Any Related SANBAG Contracts Numbers:		C11168
Other Agency Contract Nos.:		Contract Expiration Date:

Contract Management: Payable/Miscellaneous

Invoice Warning: 20%	Renewals:	Contract Type: Scoping document only
Retention: %	Max Retention to be held:	
Construction	A & E Services	Other Professional Services Intergovernmental/MOU/COOP

Budget Authority for Current Fiscal Year

Approved Budget Authority: Fiscal year Amount \$ -

GL Expense	Fund Source Description	Fund	Program	Project	Object	Amount
						\$ -
						\$ -

Project String (if applicable)	Project	Level 1	Level 2	Object	Amount
					\$ -
					\$ -
					\$ -

Contract Management: Receivable

E-76 Date	(Attach Copy if Available)	Program Supplement No.:
Finance Letter	Reversion Date:	EA No.:

All of the above MUST be submitted to FINANCE. Including Originals, Amendments & Misc transaction changes

Contract Details : Allocate the Total Contract or Current Amendment Amount by funding string

GL Revenue	Billing Category (Finance Use Only)	Fund Source Description	Fund	Program	Project	Revenue	Amount
							\$ -
							\$ -
							\$ -

Revenue Project String (if applicable)	Project	Fund	Level 2	Revenue	Amount
					\$ -
					\$ -
					\$ -

Notes

This agreement doesn't commit any funds; it only serves as a project scoping documents.

Finance Reviews

A/P Review (initials):	A/R Review (initials):	CFO Review (initials):
(Finance Use Only) Supplemental No.:	(Finance Use Only) Z Contract No.:	

**TRADE CORRIDORS IMPROVEMENT FUND
PROJECT BASELINE AGREEMENT AMENDMENT #1**

On _____, with CTC Resolution TCIF _____, attached hereto for reference, the California Transportation Commission approved a TCIF Program Amendment to revise fund programming and project schedule of TCIF Project: the Vineyard Avenue Grade Separation Project.

The Project Baseline Agreement provision for the Vineyard Avenue Grade Separation Project, effective on September 1, 2008, made by and between the California Transportation Commission, the California Department of Transportation, the City of Ontario, and San Bernardino Associated Governments remains in effect except for the following sections:

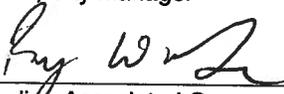
4.1 Project Schedule and Cost

See Project Programming Request Form, attached as Exhibit A.

California Department of Transportation
Malcolm Dougherty, Director

California Transportation Commission
Bimla Rhinehart, Executive Director

 _____
City of Ontario
Chris Hughes, City Manager



San Bernardino Associated Governments
Raymond Wolfe, Executive Director

APPROVED AS TO FORM:



Eileen Monaghan Teichert, SANBAG General Counsel

Exhibit A



2008 Project Programming Request
(Project Information)

General Instructions

<input type="checkbox"/> New Project		<input checked="" type="checkbox"/> Amendment (Existing Project)		Date:	11/27/12	
Caltrans District	EA	PPNO	MPO ID	TCRP No.		
08			200849			
County	Route/Corridor	Project Sponsor/Lead Agency		MPO	Element	
SBD		City of Ontario		SCAG	MT	
Project Title						
Vineyard Ave Railroad Grade Separation at UP/Alhambra						
PM Bk	PM Ahd	Project Mgr/Contact	Phone	E-mail Address		
		Tom Danna	909-395-2387	tdanna@ci.ontario.ca.us		
Location, Project Limits, Description, Scope of Work, Legislative Description						
In the City of Ontario along Alameda Corridor East, on Vineyard Ave at UP/Alhambra immediately south of Holt Blvd, construct grade separation.						
Component	Implementing Agency		AB 3090	Letter of No Prejudice		
PA&ED	Ontario		<input type="checkbox"/>	<input type="checkbox"/>		
PS&E	Ontario		<input type="checkbox"/>	<input type="checkbox"/>		
Right of Way	Ontario		<input type="checkbox"/>	<input type="checkbox"/>		
Construction	Ontario		<input type="checkbox"/>	<input type="checkbox"/>		
Legislative Districts						
Assembly:	61		Senate:	32		
Congressional:	43					
Purpose and Need						
Vineyard Ave is a north/south corridor north of Los Angeles/Ontario International Airport (ONT) running from I-10 to ONT and to Ontario's Foreign Trade Zone No. 50-1 (an extension of the Port of Long Beach's FTZ NO. 50). It carries an estimated 11,400 vehicles per day (25,200 vpd by 2030), and heavy duty trucks are estimated to comprise 20 percent of daily traffic. Separating the railroad crossing from Vineyard Ave, which is a key location along the Alameda Corridor East, will mitigate community impacts of goods movement and provide more reliable truck access to the logistics complex and the air cargo facilities at ONT.						
Project Benefits						
Railroad grade separations increase travel reliability on major roadways for both the community and truck traffic, improve air quality, and eliminate potential conflicts between vehicular and train traffic, which all act to mitigate the impact of freight movement on communities. This grade separation will eliminate gate down time totaling 2.4 hours per day in 2030 and is estimated to reduce 98 daily vehicle hours of delay in 2030.						
Project Milestone					Date	
Project Study Report Approved					N/A	
Begin Environmental (PA&ED) Phase					07/01/08	
Circulate Draft Environmental Document			Document Type	N/A	CEQA SE	
Draft Project Report					01/01/09	07/01/2009
End Environmental Phase (PA&ED Milestone)					12/31/09	06/15/2010
Begin Design (PS&E) Phase					01/01/10	06/16/2010
End Design Phase (Ready to List for Advertisement Milestone)					12/31/11	03/07/2013
Begin Right of Way Phase					01/01/10	07/01/2011
End Right of Way Phase (Right of Way Certification Milestone)					06/30/12	03/07/2013
Begin Construction Phase (Contract Award Milestone)					12/01/12	09/18/2013
End Construction Phase (Construction Contract Acceptance Milestone)					12/31/14	04/01/2015
Begin Closeout Phase					01/01/15	05/01/2015
End Closeout Phase (Closeout Report)					04/30/15	11/01/2015



2008 Project Programming Request (Funding Information)

(dollars in thousands and escalated to the programmed year)

Date: 11/27/12

County	CT District	PPNO	TCRP Project No.	EA
SBD	08	0	0	0
Project Title: Vineyard Ave Railroad Grade Separation at UPAlhambra				

Existing Total Project Cost									Implementing Agency
Component	Prior	08/09	09/10	10/11	11/12	12/13	13/14+	Total	
E&P (PA&ED)	750	0	0	0	0	0	0	750	
PS&E	0	0	2,000	0	0	0	0	2,000	
R/W SUP (CT)	0	0	0	0	0	0	0	0	
CON SUP (CT)	0	0	0	0	0	0	0	0	
R/W	0	0	8,402	0	0	0	0	8,402	
CON	0	0	0	0	0	32,637	0	32,637	
TOTAL	750	0	10,402	0	0	32,637	0	43,789	
Proposed Total Project Cost									
E&P (PA&ED)	750	0	0	0	0	0	0	750	
PS&E	0	0	3,045	0	0	0	0	3,045	
R/W SUP (CT)	0	0	0	0	0	0	0	0	
CON SUP (CT)	0	0	0	0	0	0	0	0	
R/W	0	0	0	0	600	0	0	600	
CON	0	0	0	0	0	47,400	0	47,400	
TOTAL	750	0	3,045	0	600	47,400	0	51,795	

Fund No. 1:	Trade Corridor Improvement Funds (TCIF)	Program Code							
Existing Funding		Funding Agency							
Component	Prior		08/09	09/10	10/11	11/12	12/13	13/14+	Total
E&P (PA&ED)	0	0	0	0	0	0	0	0	
PS&E	0	0	0	0	0	0	0	0	
R/W SUP (CT)	0	0	0	0	0	0	0	0	
CON SUP (CT)	0	0	0	0	0	0	0	0	
R/W	0	0	0	0	0	0	0	0	
CON	0	0	0	0	0	6,884	0	6,884	
TOTAL	0	0	0	0	0	6,884	0	6,884	
Proposed Funding									Notes
E&P (PA&ED)								0	
PS&E								0	
R/W SUP (CT)								0	
CON SUP (CT)								0	
R/W								0	
CON						6,884		6,884	
TOTAL	0	0	0	0	0	6,884	0	6,884	

Fund No. 2:	Local Funds (Developer Impact Fees)	Program Code							
Existing Funding		Funding Agency							
Component	Prior		08/09	09/10	10/11	11/12	12/13	13/14+	Total
E&P (PA&ED)	0	0	0	0	0	0	0	0	
PS&E	0	0	0	0	0	0	0	0	
R/W SUP (CT)	0	0	0	0	0	0	0	0	
CON SUP (CT)	0	0	0	0	0	0	0	0	
R/W	0	0	1,680	0	0	0	0	1,680	
CON	0	0	0	0	0	6,673	0	6,673	
TOTAL	0	0	1,680	0	0	6,673	0	8,353	
Proposed Funding									Notes
E&P (PA&ED)	250							250	
PS&E			609					609	
R/W SUP (CT)								0	
CON SUP (CT)								0	
R/W					120			120	
CON						8,737		8,737	
TOTAL	250	0	609	0	120	8,737	0	9,716	

Fund No. 3: SANBAG Measure I									Program Code
Existing Funding									
Component	Prior	08/09	09/10	10/11	11/12	12/13	13/14+	Total	Funding Agency
E&P (PA&ED)	750	0	0	0	0	0	0	750	
PS&E	0	0	2,000	0	0	0	0	2,000	
R/W SUP (CT)	0	0	0	0	0	0	0	0	
CON SUP (CT)	0	0	0	0	0	0	0	0	
R/W	0	0	6,722	0	0	0	0	6,722	
CON	0	0	0	0	0	19,080	0	19,080	
TOTAL	750	0	8,722	0	0	19,080	0	28,552	
Proposed Funding									Notes
E&P (PA&ED)	500							500	
PS&E			2,436					2,436	
R/W SUP (CT)								0	
CON SUP (CT)								0	
R/W					480			480	
CON						14,081		14,081	
TOTAL	500	0	2,436	0	480	14,081	0	17,497	

Fund No. 4: Prop 1B- State Local Partnership Program (SLPP)									Program Code
Existing Funding									
Component	Prior	08/09	09/10	10/11	11/12	12/13	13/14+	Total	Funding Agency
E&P (PA&ED)	0	0	0	0	0	0	0	0	
PS&E	0	0	0	0	0	0	0	0	
R/W SUP (CT)	0	0	0	0	0	0	0	0	
CON SUP (CT)	0	0	0	0	0	0	0	0	
R/W	0	0	0	0	0	0	0	0	
CON	0	0	0	0	0	0	0	0	
TOTAL	0	0	0	0	0	0	0	0	
Proposed Funding									Notes
E&P (PA&ED)								0	SANBAG fomula share
PS&E								0	SLPP
R/W SUP (CT)								0	
CON SUP (CT)								0	
R/W								0	
CON						14,080		14,080	
TOTAL	0	0	0	0	0	14,080	0	14,080	

Fund No. 5: Union Pacific Railroad (UPRR)									Program Code
Existing Funding									
Component	Prior	08/09	09/10	10/11	11/12	12/13	13/14+	Total	Funding Agency
E&P (PA&ED)	0	0	0	0	0	0	0	0	
PS&E	0	0	0	0	0	0	0	0	
R/W SUP (CT)	0	0	0	0	0	0	0	0	
CON SUP (CT)	0	0	0	0	0	0	0	0	
R/W	0	0	0	0	0	0	0	0	
CON	0	0	0	0	0	0	0	0	
TOTAL	0	0	0	0	0	0	0	0	
Proposed Funding									Notes
E&P (PA&ED)								0	UPRR
PS&E								0	
R/W SUP (CT)								0	
CON SUP (CT)								0	
R/W								0	
CON						3,618		3,618	
TOTAL	0	0	0	0	0	3,618	0	3,618	

PROJECT PROGRAMMING REQUEST**Complete this page for amendments only**

Date:

District	County	Route	EA	Project ID	PPNO	TCIF No.

SECTION 1 - All Projects**Project Background**

Vineyard Ave is a north/south corridor north of Los Angeles/Ontario International Airport (ONT) running from I-10 to ONT and to Ontario's Foreign Trade Zone No. 50-1 (an extension of the Port of Long Beach's FTZ NO. 50). It carries an estimated 11,400 vehicles per day (25,200 vpd by 2030), and heavy duty trucks are estimated to comprise 20 percent of daily traffic. Separating the railroad crossing from Vineyard Ave, which is a key location along the Alameda Corridor East, will mitigate community impacts of goods movement and provide more reliable truck access to the logistics complex and the air cargo facilities at ONT.

Programming Change Requested

The Vineyard Ave Grade Separation Baseline Agreement Amendment changes the delivery schedule and updates the total project cost from \$43.789 million to \$51.795 million. Additionally, \$14,080,000 of SLPP formula funds and \$3,618,000 of UP railroad funds were added to the project and the total amount of SANBAG Measure I and Developer Impact fees were reduced.

Reason for Proposed Change

The project has had two distinct events that triggered schedule changes. The first event was the negotiations with Union Pacific Railroad on the preferred rail-highway configuration. This event delayed the environmental completion date from the original Baseline Agreement milestone of December 2009 to June 2010. The second event was a permit delay from the Los Angeles World Airports (LAWA). During preparation of the 35% design plan submittal, the City and Consultant had to obtain a Right of Entry permit for geotechnical borings on LAWA property within the project site. The permit review process by LAWA took over seven months. Furthermore, the City experienced additional delays due to an active lawsuit between LAWA and Aero Ontario (developer located at the southwest corner of the project site). The lawsuit was eventually settled and the grade separation project was able to move forward. The cost increase is due to a more

If proposed change will delay one or more components, clearly explain 1) reason the delay, 2) cost increase

These two events delayed the completion of the design phase from the original baseline agreement date of December 2011 to March 2013. At this time revisions to the 100% design plans are underway and the ROW certification is anticipated in late February/early March 2013. The construction award milestone is schedule for September 2013. The cost increase is due to a more accurate PS&E plans from original estimate.

Other Significant Information**SECTION 2 - For TCRP Projects Only**Alternative Project Request (Please follow Instructions at <http://www.dot.ca.gov/tcrp/LETTERguidelines>)Letter of No Prejudice (LONP) (Please follow Guidelines at <http://www.dot.ca.gov/tcrp/docs/042706.pdf>)**SECTION 3 - All Projects****Approvals**

I hereby certify that the above information is complete and accurate and all approvals have been obtained for the

Name (Print or Type)	Signature	Title	Date

Attachments

- 1) Concurrence from Implementing Agency and/or Regional Transportation Planning Agency
- 2) Project Location Map