

**AMENDMENT MODIFICATION SUMMARY - (E-76)**

**CALIFORNIA DEPARTMENT OF TRANSPORTATION**

**FEDERAL AID PROGRAM**

DLA LOCATOR: 08-SB-10-SBAG  
 PREFIX: STPLN  
 PROJECT NO: 6053(096)  
 SEQ NO: 2  
 STATE PROJ NO: 0800020570L-N  
 AGENCY: SANBAG  
 ROUTE:  
TIP DATA  
 MPO: SCAG  
 FSTIP YR: 12/13  
 STIP REF: 209-5000-4369  
 DISASTER NO:  
 BRIDGE NO'S:

PROJECT LOCATION:  
 I-10 FROM 1.01 MILES WEST OF TO 1.02 MILES EAST OF TIPPECANOE AVENUE  
 TYPE OF WORK:  
 BRIDGE AND RAMP WIDENING AND E/B AUXILIARY LANE  
 FED RR NO'S:  
 PUC CODES:  
 PROJ OVERSIGHT: DELEGATED/LOCAL ADMIN  
 ENV STATUS / DT: FONSI 01/27/2011  
 RW STATUS / DT: 1 05/08/2012  
 INV RTE: 000000001001  
 BEG MP: 25.3  
 END MP: 26.3

PREV AUTH / AGREE DATES:  
 PE:  
 R/W:  
 CON: 06/04/2012  
 SPR:  
 MCS:  
 OTH:

<u>PROG CODE</u>	<u>LINE NO</u>	<u>IMPV TYPE</u>	<u>FUNC SYS</u>	<u>URBAN AREA</u>	<u>URB/RURAL</u>	<u>DEMO ID</u>
L23E	30	04	F	RIVERSIDE-SAN BERNARDINO	URBAN	
L23E	31	17	F	RIVERSIDE-SAN BERNARDINO	URBAN	
M230	30	04	F	RIVERSIDE-SAN BERNARDINO	URBAN	
M230	31	17	F	RIVERSIDE-SAN BERNARDINO	URBAN	

FUNDING SUMMARY

<u>PHASE</u>		<u>PROJECT COST</u>	<u>FEDERAL COST</u>	<u>AC COST</u>
PE	PREV. OBLIGATION	\$0.00	\$0.00	\$0.00
	THIS REQUEST	\$0.00	\$0.00	\$0.00
	SUBTOTAL	\$0.00	\$0.00	\$0.00
R/W	PREV. OBLIGATION	\$0.00	\$0.00	\$0.00
	THIS REQUEST	\$0.00	\$0.00	\$0.00
	SUBTOTAL	\$0.00	\$0.00	\$0.00
CON	PREV. OBLIGATION	\$16,560,790.00	\$0.00	\$13,508,790.00
	THIS REQUEST	\$-887,193.00	\$2,660,053.00	\$-13,508,790.00
	SUBTOTAL	\$15,673,597.00	\$2,660,053.00	\$0.00
<b>TOTAL:</b>		\$15,673,597.00	\$2,660,053.00	\$0.00

STATE REMARKS

05/04/2012 Seq 1 Request for CON and CE  
 LP 2000 Adv ID 0800020570; Capital Adv. ID 0800020451; Other advantage ID: 0800000710 -- as reflected in the PR and the supplemental PR that was used as Advantage ID during PA/ED prior to splitting the project into Phase 1 and Phase 2 (PM e-mail dated 5/9/2012).

This is a Local Capital Outlay Project. Also known as I-10 Tippecanoe Phase 1. This is a delegated project (State Authorized) per Caltrans (Ray Wolfe) memo dated 12/22/2011 to SANBAG. Manager is Meardey Tim, (909) 383-6480. The project started as one project (44810) and was later split into two phases (Phase 1 and Phase 2). Each phase is a stand alone project. This request is for Phase 1 (44811) Construction and CE. Phase 1 is located along I-10 in the San Bernardino County, PM 25.3 to 26.3. It is located at approximately 1.01-mile west of Tippecanoe Avenue in the cities of Loma Linda and San Bernardino. This project widens San Timoteo Creek along eastbound of I-10, widen eastbound mainline, re-align the eastbound I-10 off-ramp, add an auxiliary lane, and replace the existing trapezoidal channel with an underground RCB Culvert. The project is requested as Local Advance Construction (AC) since STP funds are presently programmed for FY 15/16 (informational year). There is a discrepancy in the project limits between the COOP (25.5/26.7) and FTIP (25.3/26.3) and recital 2 of the COOP. The COOP covers the entire limit of the project Phase 1 and Phase 2. E-mail from PM dated 5/9/2012 instructed Local Assistance to process using COOP 08-1529 despite the the limit discrepancy pending amendment. Costs incur in the CE prior to this authorization is ineligible. State Furnished Materials are non-participating. Supplemental Work except for Federal Trainee Program are non-participating. Per letter dated 12/22/2011 (CT to SANBAG), Phase 1 is a classified as Delegated Project in accordance with FHWA and Caltrans Stewardship Agreement dated 10/14/2010.

**PROJECT COST SUMMARY:**

Total Project	Federal Part	Local AC STP-AC	Local Match	Local Funds (Non-participating)
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CON	\$14,560,790	\$ 14,026,454	\$ 12,417,620	\$ 1,608,835	\$ 534,336
CE	\$ 2,000,000	\$ 2,000,000	\$ 1,091,170	\$ 908,830	
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	\$16,560,790	\$ 16,026,454	\$ 13,508,790	\$ 2,517,664	\$ 534,336

End of Seq 1

05/25/2012 This is a request for construction and construction engineering funding to widen Interstate 10 from east of the Waterman Avenue interchange to the Tippecanoe Avenue interchange in the cities of Loma Linda and San Bernardino to accommodate auxiliary lanes, additional ramp lanes, and sound walls using Regional Surface Transportation Program (RSTP) funds programmed as advanced construction in Amendment #18 approved on 1/18/12 to SCAGs 2010 FTIP. FHWA delegated construction administration oversight to the local agency via memo dated 12/16/11. This is Phase 1 of a two-phase project to widen and improve the I-10/Tippecanoe Avenue interchange. Phase 2 of the project is currently in the right-of-way phase and remains under FHWA construction administration oversight. The modified access report (MAR) was approved by FHWA on 4/18/12. Cooperative Agreement #08-1529 was executed between Caltrans and the San Bernardino Associated Governments (SANBAG) effective 2/28/12 for construction work. Funding for right of way activities was previously obligated under several federal project numbers. The original NEPA FONSI decision on 1/27/11 was re-validated on 11/29/11. The Value Analysis Report was prepared on 5/13/03. Federal funding is capped at \$420,276 for construction with a maximum reimbursement ratio of 88.53%. Reimburse with L23E RSTP funds as advanced construction at 88.53% and approximately 54.5% up to the federal amounts shown for construction and construction engineering, respectively.

05/29/2013 Seq 2. Award package and request to replace STP with CMIA. COOP agreement 08-1529 A/1 effective August 1, 2012. CTC voted CMIA on 4-25 to 26- 2013. SANBAG Finance letter dated 5/28/2013. Funds requested as Lump Sum. 10% contingency excludes supplemental. Justification provided per e-mail dated 4-23-2013.

PROJECT COST SUMMARY:

	Total Project	Federal Part	FED Funds M230	CMIA	Local Funds
CON	\$13,673,597	\$ 13,214,637	\$ 1,568,883	\$10,000,000	\$ 2,104,714
CE	\$ 2,000,000	\$ 2,000,000	\$ 1,091,170		\$ 908,830
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	\$15,673,597	\$ 15,214,637	\$ 2,660,053	\$10,000,000	\$ 3,013,544

Summary Participating and Non-Participating:

Contract Items

Participating	\$12,008,942.33
Non- Participating	\$ 72,000.00

TOTAL \$12,080,942.33

Supplemental

Participating	\$ 4,800.00 (Trainees)
Non- Participating	\$ 218,600.00

TOTAL \$ 223,400.00

State Furnished

Non- Participating	\$ 161,160.00
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Contingency

Participating	\$ 1,200,894.23
Non- Participating	\$ 7,200.00

TOTAL \$ 1,208,094.23

06/12/2013 06/12/13

	TOTAL COST	FED P/C	FED FNDS(M230)	STATE(CMIA)	LOCAL
CON	\$ 13,673,597	\$13,214,637	\$1,568,883	\$10,000,000	\$2,104,714
CE	\$ 2,000,000	\$ 2,000,000	\$ 1,091,170		\$ 908,830
TOTAL	\$ 15,673,597	\$ 15,214,637	\$ 2,660,053	\$10,000,000	\$ 3,013,544

This seq 2 is fund adjustment based on award.

1.AC Conversion f CON \$1,568,883 and CE \$1,091,170 (total \$2,660,053) M230.

2.\$10,000,000 of AC CON was replaced with CMIA FUNDS, CTC ALLOCATED ON 4/25/12

07/23/2013 Sequence #2 is a request to convert advanced construction for construction and construction engineering to actual apportionments based on the reduced contract award amounts. The construction contract was awarded on 7/12/12. \$10,000,000 of State Prop. 1B CMIA funds allocated by the CTC at its 4/25/12 meeting replaced the remaining advanced construction. Amendment #1 to Cooperative Agreement #08-1529 was executed between Caltrans and the San Bernardino Associated Governments (SANBAG) effective 8/01/12 for construction. Federal funding is now capped at \$2,660,053 for construction with a maximum reimbursement ratio of 88.53%. Reimburse now with M230 RSTP funds at approximately 11.8% and 54.5% based on a lump sum reimbursement basis up to the federal amounts shown for construction and construction engineering.

FEDERAL REMARKS

AUTHORIZATION

AUTHORIZATION TO PROCEED WITH REQUEST: CON  
FOR: AWARD & CONVERT AC  
DOCUMENT TYPE: AMOD

PREPARED IN FADS BY: GREEN, CAROL  
REVIEWED IN FADS BY: ULAT, ALICIA  
SUBMITTED IN FADS BY: LOUIE, PATRICK  
PROCESSED IN FADS BY: FOGLE, JERILYNN  
APPROVED IN FMIS BY: TASIA PAPAJOHN

ON 06/10/2013 388-7718  
ON 06/13/2013 653-4150  
ON 08/22/2013 FOR CALTRANS  
ON 08/22/2013 FOR FHWA  
ON 08/23/2013

SIGNATURE HISTORY FOR PROJECT NUMBER 6053(096) AS OF 09/03/2013

FHWA FMIS 4.0 SIGNATURE HISTORY

<u>MOD #</u>	<u>SIGNED BY</u>	<u>SIGNED ON</u>
1	JERILYNN FOGLE	08/22/2013
	ZYLKIA MARTIN-YAMBO	08/23/2013
	TASIA PAPAJOHN	08/23/2013
0	JERILYNN FOGLE	05/31/2012
	GARY J. SWEETEN	05/31/2012
	MARY CUNNINGHAM	06/04/2012

FHWA FMIS 3.0 SIGNATURE HISTORY

CALTRANS SIGNATURE HISTORY

<u>DOCUMENT TYPE</u>	<u>SIGNED BY</u>	<u>SIGNED ON</u>
AMEND/MOD	LOUIE, PATRICK	08/22/2013