State of California
DEPARTMENT OF TRANSPORTATION

Business, Transportation and Housing Agency

Memorandum

Flex your power!
Be energy efficient!

To: MEARDEY TIM, P.E.
PROJECT MANAGER
PROGRAM/PROJECT MANAGEMENT

Date: August 8, 2013

File: 08-SBD-15-SBAG
IIMDLN-6053(104)
I-15 at Ranchero Road
City of Hesperia
New Interchange
Adv. ID: 0812000245

From: Sean Yeung, P.E.
District Local Assistance Engineer (Acting), MS 760

Subject: E-76 Modification for Construction (AC conversion and Award Cost Adjustment)

We have reviewed and processed the modified E-76 for construction of the above-referenced project through Caltrans Headquarters Division of Local Assistance and the Federal Highway Administration (FHWA).

Enclosed is a copy of the authorizing document (E-76) for your use and records.

If you have any questions, please call me or my staff, Alberto Vergel de Dios, at (909) 383-6414.

Sincerely,

Sean Yeung, P.E.
District Local Assistance Engineer (Acting)

Attachment:
E-76

c: Carrie Schindler

AMENDMENT MODIFICATION SUMMARY - (E-76)

FEDERAL AID PROGRAM

CALIFORNIA DEPARTMENT OF TRANSPORTATION

DLA LOCATOR: 08-SBD-15-SBAG
PREFIX: IMDLN
PROJECT NO: 6053(104)
SEQ NO: 2
STATE PROJ NO: 0812000245L-N
AGENCY: SANBAG
ROUTE:
TIP DATA
MPO: SCAG
FSTIP YR: 11/12
STIP REF: 209-5000-1349
DISASTER NO:
BRIDGE NO'S:

PROJECT LOCATION:
I-15 AT RANCHERO ROAD ALIGNMENT IN HESPERIA
TYPE OF WORK:
NEW INTERCHANGE, RAMPS, & AUXILIARY LANES
FED RR NO'S:
PUC CODES:
PROJ OVERSIGHT: DELEGATED/LOCAL ADMIN
ENV STATUS / DT: FONSI 03/18/2010
RW STATUS / DT: 2 08/13/2012
INV RTE: 000000001501
BEG MP: 29.5
END MP: 30.9

PREV AUTH / AGREE DATES:
PE:
R/W:
CON:
SPR:
MCS:
OTH:

PROG CODE	LINE NO	IMPV TYPE	FUNC SYS	URBAN AREA	URB/RURAL	DEMO ID
L020	30	01	F	HESPERIA-APPLE VALLEY-	URBAN	
L02E	30	01	F	HESPERIA-APPLE VALLEY-	URBAN	
L02E	31	17	F	HESPERIA-APPLE VALLEY-	URBAN	
L24E	30	01	F	HESPERIA-APPLE VALLEY-	URBAN	

FUNDING SUMMARY

PHASE		PROJECT COST	FEDERAL COST	AC COST
PE	PREV. OBLIGATION	$0.00	$0.00	$0.00
	THIS REQUEST	$0.00	$0.00	$0.00
	SUBTOTAL	$0.00	$0.00	$0.00
R/W	PREV. OBLIGATION	$0.00	$0.00	$0.00
	THIS REQUEST	$0.00	$0.00	$0.00
	SUBTOTAL	$0.00	$0.00	$0.00
CON	PREV. OBLIGATION	$36,129,608.00	$0.00	$2,008,000.00
	THIS REQUEST	$8,417,694.00	$3,007,560.00	$-2,008,000.00
	SUBTOTAL	$44,547,302.00	$3,007,560.00	$0.00
	TOTAL:	$44,547,302.00	$3,007,560.00	$0.00

STATE REMARKS

09/05/2012 09/05/12 IMPORTANT: Federally funded projects AUTHORIZED (approved by FHWA) from July 1 through September 30, 2012, may be authorized under the Pre-July 1, 2012 Race-Conscious (RC) DBE procedures (i.e., contract goals limited to four UDBE groups); however, prior to advertising, contract language and bid proposal documents MUST be revised to comply with the post-June 30, 2012, RC DBE requirements (i.e., contract goals apply to all DBEs). Federally-funded projects AUTHORIZED from July 1 to September 30, 2012, and AWARDED that DO NOT COMPLY with the new Race-Conscious DBE requirements will NOT be eligible for federal funds.

	Total Cost	Fed P/C	FED FUNDS(IMD AC)	Meas 1	STIP-RIP	CMIA	SLPP	LOCAL
CON	$ 36,129,608	$2,510,000	$2,008,000	$2,325,000	$7,479,000	$21,134,582	$1,325,000	$1,858,026
CE	$ 6,450,000			$3,225,000			$3,225,000	
TOTA	$42,579,608	$2,510,000	$2,008,000	$5,550,000	$7,479,000	$21,134,582	$4,550,000	$1,858,026

NEPA Revalidated: 08/31/12
IMD FHWA award: FY 2009 $650,750
FY 2008 $1,356,810
TOTAL: $2,007,560 OR $2,008,000 Advance Construction
SLPP, CMIA,STIP-RIP STATE FUNDS CTC ALLOCATED MAY 23, 2012

09/05/2012 This is a request for construction-only funding for SANBAG to construct a new interchange on I-15 at the Ranchero Road alignment in Hesperia as a locally-delegated project using Regional Surface Transportation Program (RSTP) funding authorized as advanced construction in lieu of Interstate Maintenance Discretionary (IMD) funding awarded in FY 08-09 and FY 09-10 and programmed for FY 12-13 in Amendment #28 approved on 7/30/12 to SCAG's 2010 FTIP, but not yet allocated by FHWA. Work consists of a new 6-lane overcrossing of I-15, on- and off-ramps, and auxiliary lanes. FHWA approved the new connection

preferred alternative on 7/23/10. SANBAG executed a cooperative agreement with the City of Hesperia to administer this project and executed Cooperative Agreement #08-1539 for Construction with Caltrans on 5/15/12 to allow Caltrans to issue encroachment permit #08-12-N-MC-0482 on 6/14/12 for work to be performed within the Caltrans right-of-way. The original NEPA FONSI decision approved on 3/18/10 was re-validated on 8/31/12. The Value Analysis Report was completed on 11/26/07. The advanced construction funding will be converted upon allocation of the IMD funds by FHWA. State Prop. 1B and STIP RIP funds were contributed by Caltrans to supplement construction and construction engineering. Federal funding is capped at a future $3,008,000 for construction with a maximum reimbursement ratio of 80%.
IMPORTANT: Federally funded projects AUTHORIZED (approved by FHWA) from July 1 through September 30, 2012, may be authorized under the Pre-July 1, 2012 Race-Conscious (RC) DBE procedures (i.e., contract goals limited to four UDBE groups); however, prior to advertising, contract language and bid proposal documents MUST be revised to comply with the post-June 30, 2012, RC DBE requirements (i.e., contract goals apply to all DBEs). Federally-funded projects AUTHORIZED from July 1 to September 30, 2012, and AWARDED that DO NOT COMPLY with the new Race-Conscious DBE requirements will NOT be eligible for federal funds. Reimburse with L24E RSTP funds as advanced construction at 80% up to the federal amount shown for construction only.

05/22/2013 SEQ 2 is a request to 1) obligate allocated discretionary IMD funds of $3,007,560. Allocation approved May 21, 2013. AC conversion of $2,007,560 L24E to IMD funds and obligate $1,000,000.
Summary of allocated IMD funds are as follows;
Award year, Program Code, Obligation limit
2010, L02E, $1,000,000
2009, L020, $650,750
2008, L020, $1,356,810

2) Cost adjustment based on construction only total cost of work of $38,097,302.
3) No Federal funds in Construction Engineering.

07/19/2013 Sequence #2 is a request to convert advanced construction funding for construction to actual federal funds to match the higher contract award amount and to adjust the reimbursement ratio using Interstate Maintenance Discretionary (IMD) funding awarded in FY 08-09, 09-10, and 10-11, allocated by FHWA memo dated 5/21/13, and programmed in Amendment #5 approved on 2/21/13 to SCAG's 2013 FTIP. The construction contract was awarded on 11/07/12. Federal funding is now capped at a revised $3,007,560 for construction. State funds from various sources will be used as the majority of non-federal matching funds. Reimburse now with L020 and L02E IMD funds at approximately 8.7% on a lump sum reimbursement basis up to the federal amounts shown for construction.

FEDERAL REMARKS

AUTHORIZATION

AUTHORIZATION TO PROCEED WITH REQUEST: CON
FOR: AC CONVERS. AT AWARD
DOCUMENT TYPE: AMOD

PREPARED IN FADS BY: VERGEL DE DIOS, ALBERTO ON 06/27/2013 383-6414
REVIEWED IN FADS BY: LOUIE, PATRICK ON 07/22/2013 653-7349
SUBMITTED IN FADS BY: LOUIE, PATRICK ON 07/22/2013 FOR CALTRANS
PROCESSED IN FADS BY: FOGLE, JERILYNN ON 07/22/2013 FOR FHWA
APPROVED IN FMIS BY: MARY CUNNINGHAM ON 07/23/2013

SIGNATURE HISTORY FOR PROJECT NUMBER 6053(104) AS OF 08/06/2013

FHWA FMIS 4.0 SIGNATURE HISTORY

MOD #	SIGNED BY	SIGNED ON
1	JERILYNN FOGLE	07/22/2013
	GARY J. SWEETEN	07/22/2013
	MARY CUNNINGHAM	07/23/2013
0	JERILYNN FOGLE	09/12/2012
	CHRISTOPHER M. NEWMAN	09/12/2012
	MARY CUNNINGHAM	09/12/2012

FHWA FMIS 3.0 SIGNATURE HISTORY

CALTRANS SIGNATURE HISTORY

DOCUMENT TYPE	SIGNED BY	SIGNED ON
AMEND/MOD	LOUIE, PATRICK	07/22/2013