

**AMENDMENT MODIFICATION SUMMARY - (E-76)**

**CALIFORNIA DEPARTMENT OF TRANSPORTATION**

**FEDERAL AID PROGRAM**

DLA LOCATOR: 08-SBD-10-SBAG  
 PREFIX: STPCMLN  
 PROJECT NO: 6053(097)  
 SEQ NO: 3  
 STATE PROJ NO: 0800020566L-N  
 AGENCY: SANBAG  
 ROUTE: 10  
TIP DATA  
 MPO: SCAG  
 FSTIP YR: 10/11  
 STIP REF: 209-5000-1119  
 DISASTER NO:  
 BRIDGE NO'S:

PROJECT LOCATION:  
 INTERCHANGE AT I-10 AND CITRUS STREET  
 TYPE OF WORK:  
 RECONSTRUCT INTERCHANGE  
 FED RR NO'S:  
 PUC CODES:  
 PROJ OVERSIGHT: DELEGATED/LOCAL ADMIN  
 ENV STATUS / DT: FONSI 11/21/2008  
 RW STATUS / DT: 2 04/01/2011  
 INV RTE: 000000001001  
 BEG MP: 14.8  
 END MP: 15.5

PREV AUTH / AGREE DATES:  
 PE:  
 R/W:  
 CON: 09/07/2011  
 SPR:  
 MCS:  
 OTH:

<u>PROG CODE</u>	<u>LINE NO</u>	<u>IMPV TYPE</u>	<u>FUNC SYS</u>	<u>URBAN AREA</u>	<u>URB/RURAL</u>	<u>DEMO ID</u>
L230	30	03	F	RIVERSIDE-SAN BERNARDINO	URBAN	
L23E	30	03	F	RIVERSIDE-SAN BERNARDINO	URBAN	
L40E	30	24	F	RIVERSIDE-SAN BERNARDINO	URBAN	
M230	30	03	F	RIVERSIDE-SAN BERNARDINO	URBAN	

FUNDING SUMMARY

<u>PHASE</u>		<u>PROJECT COST</u>	<u>FEDERAL COST</u>	<u>AC COST</u>
PE	PREV. OBLIGATION	\$0.00	\$0.00	\$0.00
	THIS REQUEST	\$0.00	\$0.00	\$0.00
	SUBTOTAL	\$0.00	\$0.00	\$0.00
R/W	PREV. OBLIGATION	\$0.00	\$0.00	\$0.00
	THIS REQUEST	\$0.00	\$0.00	\$0.00
	SUBTOTAL	\$0.00	\$0.00	\$0.00
CON	PREV. OBLIGATION	\$36,764,009.00	\$26,543,071.00	\$3,834,914.00
	THIS REQUEST	\$0.00	\$3,834,914.00	\$-3,834,914.00
	SUBTOTAL	\$36,764,009.00	\$30,377,985.00	\$0.00
<b>TOTAL:</b>		\$36,764,009.00	\$30,377,985.00	\$0.00

STATE REMARKS

09/07/2011 09/07/11: Seq 1 - authorized \$4,000,000 of L230 funds, \$20,133,000 of L23E funds, and \$2,500,000 of L40E funds for CON. CENG was not requested and is not authorized. Per State PM's e-mail dated 7/22/11, the Supplemental Work and the State/Agency Furnished Materials/Expenses are federally non-participating. Per District FHWA Liaison's 7/27/11 e-mail this Local Capital Outlay Project is not a high profile project. Federal L230 & L23E funds are being obligated in FFY 2011 per programming approvals from SANBAG and SCAG on 8/18/11.

This is a Local Capital Outlay Project, not a Local Assistance Project. Any questions about this project should be directed to the State PM, Mark Lancaster, (909) 659-7483. In addition to complying with 23 CFR, SANBAG shall also follow the requirements of the Capital side Encroachment Permit No 08-11-N-MC-0517 and the Cooperative Agreement & its amendment No 08-1497 A/1. Capital side Adv Id: 0800000745.

Supplemental documentation provided to FHWA as part of this E-76 are: FL dated 9/7/11, segregated estimate dated 7/20/11, FSTIP Sheet (SBD45000), NEPA Revalidation dated 8/3/11, NEPA FONSI & EA signature sheets, R/W Cert #2 dated 4/1/11, FHWA MAR Memo dated 9/8/09, undated back-up estimate info for the CMAQ eligible work, CMAQ Emission Benefits Info, and the FSTIP Sheet (Id: OC2500) for future mainline HOV Lanes Project.

The CMAQ funds are for the construction of the HOV bypass ramps lane construction and for the new Citrus Ave incremental bridge span length to accommodate an HOV lane in each direction on the I-10 Mainline (see segregated estimate). As proof of the future HOV Lanes Mainline Project, the District submitted FSTIP Sheet (OC2500) - this is also included as supplemental documentation. So too, is the State PM reviewed Emission Benefits Info. Since this info does not discuss the future HOV Lanes on the Mainline I-10 it cannot be used for the project with the FSTIP Id of OC2500. If the HOV Lanes (OC2500) are not constructed SANBAG will need to return the CMAQ funds related to the incremental bridge span length. AS

Note that \$23.6 million in Capital side Prop 1B State TCIF funds is still programmed in State Programming Documents on the CON Phase of this project. DLA-Implementation informed the District that once federal

funds are obligated on this project, we will not swap out these funds with TCIF funds and in response on 8/17/11, SANBAG indicated that based on the current FSTIP Programming (Amend #7), it will not use the \$23.6 million in State Prop 1B TCIF funds on this project. It is SANBAG's responsibility to so notify the CTC. AS

09/07/2011	09/07/11	TOTAL COST	FED P/C	FED1(L230)	FED2(L23E)	FED3(L40E)	LOC AC	LOCAL
	CONSTR	\$40,177,320	\$38,223,800	\$4,000,000	\$20,133,300	\$2,500,000	\$4,127,000	\$9,417,320
	TOTAL	\$40,177,320	\$38,223,400	\$4,000,000	\$20,133,300	\$2,500,000	\$4,127,000	\$9,417,320

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06/15/2012 SEQ 2. Cost Adjustment per Award Package

This request reduced the total project cost, CMAQ (L40E) funds, and Local AC due to low bid. Detail estimate dated 6/6/2012 shows segregated items for CMAQ and STP. Supplemental Work and Agency/State Furnished Materials/Expenses are federally non-participating items (except for Federal Trainee Program, \$8,800). Local AC cannot be converted at this time due to program year 2014/2015. Reimbursed at 76.39% to 88.53% up to maximum federal dollars allowed.

	TOTAL COST	FED P/C	FED1 (L230)	FED2 (L23E)	FED3 (L40E)	LOC AC	LOCAL
CONSTR	\$ 36,764,009	\$ 34,313,775	\$ 4,000,000	\$ 20,133,300	\$ 2,410,071	\$ 3,834,914	\$ 6,386,024

End of SEQ 2 comments - CNG

06/28/2012 06/28/12

	TOTAL COST	FED P/C	FED FNDS(L230)	FED FNDS(L23E)	FNDS(L40E)	LOCA AC	LOCAL FNDS
CON	\$34,041,688	\$31,591,454	\$4,000	\$20,133,000		\$3,834,914	\$6,073,774
CON(cmaq)	\$2,722,321	\$ 2,722,321			\$2,410,071		312,250
TOTA	\$36,764,009	\$34,313,775	\$4,000	\$30,133,000	\$2,410,071	\$2,834,914	\$6,386,024

This seq #2 is fund adjustment based on award.

07/10/2012 This Sequence #2 is a request to de-obligate advanced construction and CMAQ-eligible construction funding to match the reduced participating contract award amounts and to adjust the final reimbursement ratio. The construction contract was awarded on 2/01/12. Federal funding is now capped at \$30,377,985 for construction. Reimburse still with existing L230 and reduced advanced construction L23E RSTP funds and reduced L40E CMAQ funds at an adjusted 88.53% up to the reduced federal amounts shown for construction.

05/28/2013 Sequence 3. This sequence is to convert advance construction funds to STP funds. Use SANBAG's finance letter dated 5/15/2013. Reimburse using Lump Sum.

05/30/2013 This seq #3 is conversion of AC CON to real federal funds \$3,834,914 M230 .No other changes.

	TOTAL COST	FED P/C	FED FNDS(L230)	FED FNDS(L23E)	FED FNDS(m230)	FED FNDS(L40E)	LOC
CON	34,041,688	\$31,591,454	\$4,000,000	20,133,000	3,834,914		6,073,774
CE	2,722,321	\$ 2,722,321				\$2,410,071	312,250
TOT	36,764,009	\$ 34,313,775	\$4,000,000	20,133,000	3,834,914	2,410,071	6,386,024

06/29/2013 Sequence #3 is a request to convert advance construction for construction to actual funding. There is no change in project costs. Federal funding remains capped at \$30,377,985 for construction with a maximum reimbursement ratio of 88.53%. Reimburse now with added M230 RSTP funds at 88.53% on a lump sum reimbursement basis up to the federal amount shown for construction only.

FEDERAL REMARKS

AUTHORIZATION

AUTHORIZATION TO PROCEED WITH REQUEST: OTH  
 FOR: AC CONVERSION  
 DOCUMENT TYPE: AMOD

PREPARED IN FADS BY: GREEN, CAROL  
 REVIEWED IN FADS BY: ULAT, ALICIA  
 SUBMITTED IN FADS BY: LOUIE, PATRICK  
 PROCESSED IN FADS BY: FOGLE, JERILYNN  
 APPROVED IN FMIS BY: MARY CUNNINGHAM

ON 06/05/2013 388-7718  
 ON 06/05/2013 653-4150  
 ON 06/29/2013 FOR CALTRANS  
 ON 07/01/2013 FOR FHWA  
 ON 07/02/2013

SIGNATURE HISTORY FOR PROJECT NUMBER 6053(097) AS OF 12/13/2013

FHWA FMIS 4.0 SIGNATURE HISTORY

<u>MOD #</u>	<u>SIGNED BY</u>	<u>SIGNED ON</u>
2	JERILYNN FOGLE	07/01/2013
	GARY J. SWEETEN	07/02/2013
	MARY CUNNINGHAM	07/02/2013
1	JERILYNN FOGLE	07/11/2012
	GARY J. SWEETEN	07/12/2012
	MICHAEL AVERY	07/25/2012
0	JERILYNN FOGLE	09/07/2011
	ZYLKIA MARTIN-YAMBO	09/07/2011
	TASIA PAPAJOHN	09/08/2011

FHWA FMIS 3.0 SIGNATURE HISTORY

CALTRANS SIGNATURE HISTORY

<u>DOCUMENT TYPE</u>	<u>SIGNED BY</u>	<u>SIGNED ON</u>
AMEND/MOD	LOUIE, PATRICK	06/29/2013