

ARRA-TE

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BT

AUTHORIZATION / AGREEMENT SUMMARY - (E-76)

CALIFORNIA DEPARTMENT OF TRANSPORTATION

FEDERAL AID PROGRAM

DLA LOCATOR: 08-SBD-0-MLC  
 PREFIX: RPSTPLE  
 PROJECT NO: 5326(015)  
 SEQ NO: 1  
 STATE PROJ NO: 0800020168L-N  
 AGENCY: MONTCLAIR  
 ROUTE:  
 TIP DATA  
 MPO: SCAG  
 FSTIP YR: 10/11  
 STIP REF: 109-0000-2919  
 DISASTER NO:  
 BRIDGE NO'S:

PROJECT LOCATION:  
 MISSION BLVD FROM LOS ANGELES COUNTY LINE TO PIPELINE AVE (PHASE 9)  
 TYPE OF WORK:  
 LANDSCAPING AND ROAD REHABILITATION (NON-PARTICIP)  
 FED RR NO'S:  
 PUC CODES:  
 PROJ OVERSIGHT: EXEMPT FROM FHWA REVIEW  
 ENV STATUS / DT: CAT EXCL-FHWA DOC 08/06/2005  
 RW STATUS / DT: 1 11/01/2010  
 INV RTE:  
 BEG MP:  
 END MP:

PREV AUTH / AGREE DATES  
 PE:  
 R/W:  
 CON:  
 SPR:  
 MCS:  
 OTH:

PROG CODE	LINE NO	IMPV TYPE	FUNC SYS	URBAN AREA	URB/RURAL	DEMO ID
L22E	30	31	P	RIVERSIDE-SAN BERNARDINO	URBAN	

FUNDING SUMMARY

PHASE	PROJECT COST	FEDERAL COST	AC COST
PE	PREV. OBLIGATION	\$0.00	\$0.00
	THIS REQUEST	\$0.00	\$0.00
	SUBTOTAL	\$0.00	\$0.00
R/W	PREV. OBLIGATION	\$0.00	\$0.00
	THIS REQUEST	\$0.00	\$0.00
	SUBTOTAL	\$0.00	\$0.00
CON	PREV. OBLIGATION	\$0.00	\$0.00
	THIS REQUEST	\$1,449,631.00	\$551,000.00
	SUBTOTAL	\$1,449,631.00	\$551,000.00
TOTAL:	\$1,449,631.00	\$551,000.00	\$0.00

STATE REMARKS

03/22/2011 03/21/11 need rfa documents

03/24/11	TOTAL COST	FED P/C	FED FUNDS(L22E)	LOCAL(match)	OTHERS(LOCAL)
CONSTRUCTION	\$1,449,631	\$624,309	\$551,000	\$73,309	\$825,322
TOTAL	\$1,449,631	\$624,309	\$551,000	\$73,309	\$825,322

PPNO 11111 CTC Allocated 6/30/10 and CTC award Extension to 06/30/11 ctijs id 109-000-2919

03/21/2011 The City of Montclair is requesting construction funding for Phase 9 of Mission Boulevard improvement project. No Construction Engineering funds have been requested.

Total Partic	Fed. Funds	Local	Other
Costs	Costs	(STIP-TE/L220)	Match
CON \$1,449,631	\$624,309	\$551,000	\$73,309 \$825,322

03/29/2011 03/29/11: Seq 1 - authorized \$ 551,000 of L22E funds for CON. CE was not requested and is not authorized. This project is subject to STIP TUF Deadlines which the City should be well aware of. The NEPA CE dated 8/6/05 and done under HP21L-5326(012) was revalidated on 2/3/09 under HP21L-5326(012). However, the 2/3/09 revalidation did not include the roadway rehabilitation work that is being done under this project, RPSTPLE-5326(015). The NEPA Revalidation dated 3/24/11 is specific to RPSTPLE-5326(015) and includes the roadway rehabilitation work. The roadway rehabilitation/drainage improvements work is federally non-participating and the City shall not bill for this work. The R/W Cert. indicates that the scope of work includes street lighting - this is incorrect as can be seen from the City's segregated cost estimate.

Supplemental documentation being provided to FHWA as part of this AAGR E-76 are: FL dated 11/17/10, segregated cost estimate dated 10/7/10, FSTIP Sheet, FSTIP Back-up List, NEPA CE dated 8/6/05, NEPA

Revalidation dated 3/24/11, and RAW Certification dated 11/1/10. AS

FEDERAL REMARKS

AUTHORIZATION

AUTHORIZATION TO PROCEED WITH REQUEST: CON  
FOR: CONTRACT  
DOCUMENT TYPE: AAGR

PREPARED IN FADS BY: ORTIZ, ROBERTO  
REVIEWED IN FADS BY: ULAT, ALICIA  
SUBMITTED IN FADS BY: SOARES, ALBERT  
PROCESSED IN FADS BY: HUEY, SHUN  
APPROVED IN FMIS BY: RODNEY WHITFIELD

ON 03/21/2011 383-6323  
ON 03/25/2011 653-4150  
ON 03/29/2011 FOR CALTRANS  
ON 03/29/2011 FOR FHWA  
ON 04/04/2011

SIGNATURE HISTORY FOR PROJECT NUMBER 5326(015) AS OF 04/05/2011

FHWA FMIS 4.0 SIGNATURE HISTORY

<u>MOD #</u>	<u>SIGNED BY</u>	<u>SIGNED ON</u>
0	SHUN HUEY	03/30/2011
	GARY J. SWEETEN	03/31/2011
	RODNEY WHITFIELD	04/04/2011

FHWA FMIS 3.0 SIGNATURE HISTORY

CALTRANS SIGNATURE HISTORY

<u>DOCUMENT TYPE</u>	<u>SIGNED BY</u>	<u>SIGNED ON</u>
AUTH/AGREE	SOARES, ALBERT	03/29/2011

**DEPARTMENT OF TRANSPORTATION**

DISTRICT 8

PLANNING AND LOCAL ASSISTANCE

464 WEST FOURTH STREET, 6<sup>TH</sup> FLOOR

SAN BERNARDINO, CA 92401-1400

PHONE (909) 383-4030

FAX (909) 383-5936

TTY (909) 388-6300

*Flex your power!  
Be energy efficient!*

April 5, 2011

Mr. Michael C. Hudson  
City Engineer  
City of Montclair  
5111 Benito Street  
Montclair, CA 91763

Dear Mr. Hudson

**FEDERAL PROJECT NO. 5326(015), Mission Blvd. Phase 9**

The Office of Local Assistance, District 8 has reviewed your Request for Authorization (RFA) for Construction. The RFA requested that Federal Authorization be secured for Construction for landscaping and road rehabilitation in the City of Montclair.

Your request has been approved and the City of Montclair is now authorized to begin the Construction. Attached is a copy of the authorizing document, FNM-76, Sequence 1 dated April 4, 2011 for your file and record. Please consult the Local Assistance Manual, Chapter 15, for proper Advertising and Award procedures. Prospective bidders should be reminded of the DBE requirements.

**Please notify our office in writing of the date of the bid opening.** Local Agency is required to submit to the DLAE with one copy of the "as advertised" plans and special provisions.

After award of the contract and prior to submitting the first invoice of the construction phase, the administering agency shall complete and forward the following information to the DLAE.

- A complete award package as outlined in Chapter 15.7 of the Local Assistance Procedures Manual.
- Three sets of Plans, Special Provisions and the latest Engineering Estimate (PS&E) are required if Caltrans Laboratory will be used. A complete form, CEM-3101, "Notice of Materials to be Used" should be included. If Caltrans Laboratory will **NOT** be used, one set of PS&E packages is sufficient.

Prior to the pre-construction meeting, please contact Mr. Richard Navarro, District 8 Labor Compliance Officer at (909) 383-5901, Fax: (909) 383-6727, [Richard.Navarro@dot.ca.gov](mailto:Richard.Navarro@dot.ca.gov) to discuss contractor's responsibilities on posting of notices, documentation and labor compliance issues prior to execution of the contract. The Local Agency shall follow its normal procedure in

Mr. Hudson  
April 5, 2011  
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awarding the contract to the lowest responsible bidder, and assure that all federal requirements have been met, including an approved Quality Assurance Program.

Please be reminded of the invoice processing requirements and reimbursement process. Only work performed after federal "Authorization to Proceed" (E-76) is eligible for reimbursement. Invoices for reimbursement will not be submitted until after the federal and state (if applicable) funds are encumbered via an executed "Program Supplement Agreement" (PSA) and/or State approval Finance Letter. In addition, it is also understood that an invoice must be submitted at least once every six (6) months for each project phase until all funds are expended. **If there are no eligible expenses, then a written explanation will be provided for that six (6) month period along with the target amount and date for the next invoice submittal.**

**Construction engineering funds were not requested and are not authorized. The roadway rehabilitation and drainage improvement work is federally non-participating and the City shall not bill for this work.**

If you have any questions, please contact Bob Ortiz of my staff at (909) 383-6323 if you require additional information.

Sincerely,



*for* Savat Khamphou, P.E.  
DLAE, District 8 Local Assistance

cc: SANBAG – Lisa Poe  
Richard Navarro, Caltrans D8 Labor Compliance Office  
File